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PTO/SB/21 (04-04)

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| TRANSMITTAL |  |
|-------------|--|
| FORM        |  |

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

| Application Number     | 10/780,288        |
|------------------------|-------------------|
| Filing Date            | February 17, 2004 |
| First Named Inventor   | Masayuki IKEDA    |
| Art Unit               | 3662              |
| Examiner Name          | Fred H. Mull      |
| Attorney Docket Number | 9319S-000631      |

|  |                   | ENCLOSU  | RES (check all that apply)                    | -   |  |  |
|--|-------------------|--|---|---|--|--|
| Fee Transmittal Form                                   |                   | Drawing(s)                                       |   | After Allowance Communication to Technology Center (TC)   |  |  |
| Fee Attached   |                   | Licensing-r                                      | elated Papers                                 | Appeal Communication to Board of Appeals and Interferences  |  |  |
| Amendment / Reply                                      |                   | Petition   |   | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  |  |  |
| After Final  |                   |  | Convert to a<br>Application                   | Proprietary Information   |  |  |
| Affidavits/declar                                      | ation(s)          |  | ttorney, Revocation<br>Correspondence Address | Status Letter   |  |  |
| Extension of Time R                                    | Request           | Terminal D                                       | isclaimer                                     | Other Enclosure(s) (please identify below):   |  |  |
| Express Abandonment Request                            |                   | Request for Refund  CD, Number of CD(s)          |   | Form 1449 with one cited reference;<br>Return Postcard  |  |  |
| Information Disclosu                                   | ıre Statement     |  |   |   |  |  |
| Certified Copy of Pri Document(s)  Response to Missing | ·                 | Remarks  | fees that may be requi                        | nereby authorized to charge any additional red under 37 CFR 1.16 or 1.17 to Deposit A duplicate copy of this sheet is enclosed. |  |  |
| Incomplete Application                                 |                   |  |   |   |  |  |
| Response to Mis Parts under 37 0 1.52 or 1.53          |                   | Á  |   |   |  |  |
|  | SIGNA             | TURE OF APP                                      | LICANT, ATTORNEY, O                           | RAGENT  |  |  |
| Firm or Individual name                                | Harness, Dickey & | Attorney Name G. Gregory Schivley Bryant E. Wade |   | Reg. No.<br>27,382<br>40,344  |  |  |
| Signature  | Ment.             | Llan   |   |   |  |  |
| Date   | arch 22, 2006     | 3  |   |   |  |  |
|  | С                 | ERTIFICATE (                                     | OF TRANSMISSION/MAIL                          | LING  |  |  |
|  |                   |  |   |   |  |  |

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

| Typed or printed name | -G. Gregory Schivley Bryant Wade | Express Mail<br>Label No. | EV 853 855 887 US (3/22/2006) |
|-----------------------|----------------------------------|---------------------------|-------------------------------|
| Signature             | Smith ( Charles                  | Date                      | March 22, 2006                |
|                       |                                  |                           |                               |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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If you need assistance in completing the form, call 1-800-PTO-9199 Endelec 8p5n2 855 887

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/780,288

Filing Date:

February 17, 2004

Applicant:

MAR 2 2 2006

Masayuki IKEDA

Group Art Unit:

3662

Examiner:

Fred H. Mull

Title:

POSITIONING SYSTEM

Attorney Docket:

93198-000631

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

### **INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

#### ١. LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

#### 11. **COPIES**

A. X Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed, other than U.S. patents and U.S. patent application publications unless required by the Office; (iii) each unpublished U.S. application listed below in Section IV (i.e., including the specification, claims, and any drawing of the application, or that portion of the application which caused it to be listed, including any claims directed to that portion), except for such applications filed on or after June 30, 2003, pursuant to the Waiver of the Copy Requirement in 37 C.F.R. 1.98 (OG Notice dated October 19, 2004); and (iv) all other information or that portion which caused it to be listed.

B. Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were 03/24/2006 SSESHE1 00000143 503213 cited by or submitted to the PTO in one of the following

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Serial No. 10/780,288

applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120: U.S. Serial Number U.S. Filing Date C. This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search report are listed on the attached Form 1449 for consideration by the Examiner and for listing on any patent resulting from this application. If the International Search report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g).) III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box) A. \( \) Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required). B. A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)): 1. See the attached foreign patent office communication regarding a related foreign application in: 2. English translations are provided as follows: 3. Other: C. The following additional information is provided for the Examiner's consideration: CROSS REFERENCE TO RELATED APPLICATION(S) A. The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does (do) not waive the confidentiality provisions of 35 U.S.C. § 122.

Filing Date

#### ٧. THIS IDS IS BEING FILED UNDER

Serial No.

IV.

Art Unit

| A.   |
|--|
| 1.  within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.   |
| 2.  within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required.  |
| 3.  before the mailing of a first Office Action on the merits (37 C.F.R § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p). |
| 4. Defore the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee of certification is required.   |
| B. <b>37 C.F.R. § 1.97(c):</b> (check <u>only</u> one box)   |
| before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution.  |
| 1. $\boxtimes$ No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).  |
| 2.  See the certification below. No fee is required.   |
| C. 37 C.F.R. § 1.97(d):  |
| after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.  |
| 1. See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).  |
| CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)  |
| The undersigned hereby certifies that:   |
| A. ach item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. 8).  |

VI.

## 1.97(e)(1)). See further statement under 37 C.F.R. 1.704(d) below in section VII, if applicable; or B. no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)). C. some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS. VII. STATEMENT UNDER 37 C.F.R. 1.704(d) The undersigned hereby states that: each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS. VIII. PAYMENT OF FEES (check only one box) A. $\square$ A check in the amount of \$180.00 is enclosed for the above identified fee. B. Please charge Deposit Account No. 50-3213 in the amount of \$180.00 for the above-indicated fee. The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is

requested to consider this IDS under the proper rule (with a petition if necessary)

and charge the appropriate fee to Deposit Account No. 50-3213 (Epson R&D).

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 50-3213 (Epson R&D).

Respectfully submitted,

Dated: March 22, 2006

Gregory Schivley Reg. No. 27,382

Harness, Dickey & Pierce, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

GGS/MPD/cn

HDP/SB/17 (02-06)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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## **FEE TRANSMITTAL** for FY 2006

Effective 2/8/2006. Patent fees are subject to annual revision.

| Applicant claims small entity | status. | See 37 CFR 1.27 | ' |
|-------------------------------|---------|-----------------|---|
| TOTAL AMOUNT OF PAYMENT       | (\$)    | 180             |   |

| Complete if Known    |                   |  |  |
|----------------------|-------------------|--|--|
| Application Number   | 10/780,288        |  |  |
| Filing Date          | February 17, 2004 |  |  |
| First Named Inventor | Masayuki IKEDA    |  |  |
| Examiner Name        | Fred H. Mull      |  |  |
| Art Unit             | 3662              |  |  |
| Attorney Docket No.  | 93195-000631      |  |  |

|  | METHOD O         | F PAYME        | ENT (check all              | that apply)       |                                   |              |                  |              |            | ALCULATION (c                                      | ontinued)                           |             |
|--|------------------|----------------|-----------------------------|-------------------|-----------------------------------|--------------|------------------|--------------|------------|--|-------------------------------------|-------------|
| ☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None |                  |                |                             |                   | DDITIOI<br>e Entity               | NAL FE       | ES<br>all Entity | ,            |            |  |                                     |             |
| Order  Deposit Account:                      |                  |                |                             |                   | Fee                               | Fee          | Fee              | Fee          | -          |  | Paid                                |             |
| · ·  |                  |                |                             |                   |                                   | Code         | (\$)             | Code         | (\$)       |  | escription                          | Fee Paid    |
| Deposit<br>Account                           | 50-32            | 242            |                             |                   |                                   | 1051         | 130              | 2051         | 65<br>35   | _  | e filing fee or oath                |             |
| Number                                       | 30-32            | 213            |                             |                   |                                   | 1052         | 50               | 2052         | 25         | Surcharge - late<br>or cover sheet.                | provisional filing fee              |             |
|  |                  |                |                             |                   |                                   | 1053         | 130              | 1053         | 130        | Non-English sp                                     | ecification                         |             |
| Deposit                                      | Enco             | - D 9 D        |                             |                   |                                   | 1812         | 2,520            | 1812         | 2,520      |  | est for reexamination               |             |
| Account<br>Name                              | Epso             | n R & D        |                             |                   |                                   | 1804         | 920*             | 1804         | 920*       | Requesting pub<br>Examiner action                  | lication of SIR prior to            |             |
| Charge fe                                    | e(s) indicated   | l below        | eck all that ap  Credit any | overpaym          |                                   | 1805         | 1,840*           | 1805         | 1,840*     | Requesting pub<br>Examiner action                  | lication of SIR after               |             |
|  |                  |                | ring the pende              |                   |                                   | 1251         | 120              | 2251         | 60         |  | ply within first month              |             |
| to the above-                                |                  |                | except for the<br>ount.     | e filing ree      | ł                                 | 1252         | 450              | 2252         | 225        |  | ply within second                   |             |
|  |                  |                | LCULATION                   |                   |                                   | ٦.,,,        | 1000             |              |            | month  |                                     |             |
| - BAS  | '0 C'' INC E     |                |                             |                   |                                   | 1253<br>1254 | 1020<br>1,590    | 2253<br>2254 | 510<br>795 |  | ply within third month              |             |
| 1. BAS<br>Large Entity                       | IC FILING F      |                |                             |                   |                                   |              | ŕ                | ŀ            |            | month  | ply within fourth                   |             |
| Fee Fee                                      | Fee              | Fee <u>F</u> e | ee Descriptio               | <u>n</u>          |                                   | 1255         | 2,160            | 2255         | 1080       |  | ply within fifth month              |             |
| Code (\$)                                    | 1                | (\$)           |                             | -                 | Fee Paid                          | 1401         | 500              | 2401         | 250        | Notice of Appea                                    |                                     |             |
| 1011 300                                     | 1                |                | Itility filing fee          | ļ                 |                                   | 1402         | 500              | 2402         | 250        | -  | support of an appeal                |             |
| 1012 200                                     | 1                |                | esign filing fee            | <b>,</b>          |                                   | 1403         | 1000             | 2403         | 500        | Request for ora                                    | •                                   | <u> </u>    |
| 1013 200                                     |                  |                | lant filing fee             | -                 |                                   | 1452         | 500              | 2452         | 250        |  | e – unavoidable                     |             |
| 1014 300                                     |                  |                | leissue filing fe           | - F               | -                                 | 1453<br>1462 | 1500<br>400      | 2453         | 750<br>400 |  | e – unintentional                   | $\vdash$    |
| 1005 200                                     | 2005             | 100 Pr         | rovisional fillin           | g ree L           |                                   |              |                  | 1462         | 400        |  | er 37 CFR 1.17(f)                   |             |
|  | SUE              | BTOTAL (       | (1)                         | [                 | (\$) 0                            | 1463         | 200              | 1463         | 200        |  | er 37 CFR 1.17(g)                   |             |
|  |                  |                |                             |                   |                                   | 1464         | 130              | 1464         | 130        |  | er 37 CFR 1.17(h)                   |             |
| 2. EXTRA                                     | CLAIM FEE        |                |                             |                   |                                   | 1807         | 50               | 1807         | 50         | -  | under 37 CFR 1.17 (q)               | ' <b>-</b>  |
| Total Claims                                 | -20              | _ (            | Claims t                    | Fee from<br>below | Fee<br>Paid                       | 1806         | 180              | 1806         | 180        | Stmt   | nformation Disclosure               | 180         |
| Independent<br>Claims                        | -3 *             | =              | 0 X X                       |                   | = 0                               | 8021         | 40               | 8021         | 40         | Recording each<br>per property (tin<br>properties) | patent assignment<br>nes number of  |             |
| Multiple<br>Dependent                        |                  | <u> </u>       |                             | =                 | = 0                               | 1809         | 790              | 2809         | 395        | Filing a submiss<br>(37 CFR § 1.12)                | sion after final rejection<br>9(a)) | · -         |
| Large Entity                                 | ı Smal           | II Entity      | L                           |                   | <u> </u>                          | 1810         | 790              | 2810         | 395        |  | onal invention to be                |             |
|  | ee Fee           | Fee            | 5 D                         |                   |                                   | 1801         | 790              | 2801         | 395        | examined (37 C<br>Request for Cont                 | FR § 1.129(b))<br>inued Examination | -           |
| Code (\$                                     |                  | (\$)           | Fee Descri                  |                   |                                   |              | 1                | ]            |            | (RCE)  |                                     |             |
| 1202 50                                      | - 1              | 25             | Claims in e                 |                   |                                   | Other f      | ee (speci        | ify)         |            |  |                                     |             |
| _  | 00 2201          | 100            | -                           |                   | excess of 3                       | *Redu        | ced by E         | Basic Fili   | ng Fee     | Paid SUBT  | OTAL (3) (\$)180                    | <del></del> |
| -  | 60 2203          | 180            |                             | •                 | im, if not paid<br>nt claims over |              | _                |              | -          | N FEES   | ,,                                  |             |
| 1204 20                                      | 00 2204          | 100            | original pate               |                   | il Cidii iis ovei                 | 1111         | 500              | 2111         | 250        | Utility Search Fe                                  | ee                                  |             |
| 1205 50                                      | 0 2205           | 25             |                             |                   | cess of 20 and                    |              | 100              | 2112         | 50         | Design Search I                                    |                                     | $\vdash$    |
| 1200   | 1 2200           | 4.5            | over origina                | al patent         |                                   | 1113         | 300              | 2113         | 150        | Plant Search Fe                                    |                                     |             |
| ĺ  |                  | SHE            | BTOTAL (2)                  | (0) 0             |                                   | 1114         | 500              | 2114         | 250        | Reissue Search                                     | Fee                                 |             |
|  |                  | 302            | )   O   ML (2)              | (\$) 0            |                                   | 1311         | 200              | 2311         | 100        | Utility Examinati                                  | on Fee                              |             |
|  |                  |                |                             |                   |                                   | 1312         | 130              | 2312         | 65         | Design Examina                                     | ition Fee                           |             |
|  |                  |                |                             |                   |                                   | 1313         | 160              | 2313         | 80         | Plant Examination                                  | on Fee                              |             |
|  |                  |                |                             |                   |                                   | 1314         | 600              | 2314         | 300        | Reissue Examir                                     |                                     |             |
| **or number                                  | previously paid, | if greater;    | For Reissues,               | see above         | ·                                 |              |                  |              | <u>.</u>   | SU   | BTOTAL (4) (\$)0                    |             |
| SUBMITTED B                                  | Y                |                | _                           |                   |                                   |              |                  |              |            | Com  | plete (if applicable)               |             |
|  |                  | G. Gregory     | <i>z</i> ·                  | , Re              | gistration No.                    | 2 1          | 27,              | 382          |            |  |                                     |             |
| Name (Print/Ty                               | pe) /8           | Bryant E       | (ade                        | (A)               | tiorney/Agent)                    |              | 40,              | 344          |            | Telephone  | 248-641-1600                        |             |
| S'   | Sienter Carrier  |                |                             |                   |                                   |              |                  |              |            |  |                                     |             |

# PATENT AND TRADEMARK OFFICE O PLANE CHARTION DISCLOSURE CITATION (Use several sheets if possesses)

Sheet 1 of 1

| ATTORNEY DOCKET No. | SERIAL NO. |   |
|---------------------|------------|---|
| 9319S-000631        | 10/780,288 |   |
| APPLICANT           |            | _ |
| Masayuki IKEDA      |            |   |
| FILING DATE         | GROUP      |   |
| February 17, 2004   | 3662       |   |

| U.S. P         |                        | CUMENTS            |      |      |                    |                                 |
|----------------|------------------------|--------------------|------|------|--------------------|---------------------------------|
| Ref.<br>Desig. | Examiner's<br>Initials | Document<br>Number | Date | Name | Class/<br>Subclass | (If appropriate)<br>Filing Date |
| 1.             |                        |                    |      | ,    |                    |                                 |

| FORE           | IGN PATEN           | IT DOCUMENTS       |      |         |                    |                       |  |
|----------------|---------------------|--------------------|------|---------|--------------------|-----------------------|--|
| Ref.<br>Desig. | Examiner's Initials | Document<br>Number | Date | Country | Class/<br>Subclass | Translation<br>Yes No |  |
| 1.             |                     |                    |      |         |                    |                       |  |

| OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.) |                     |  |  |  |  |  |  |  |
|--|---------------------|--|--|--|--|--|--|--|
| Ref.<br>Desig.   | Examiner's Initials |  |  |  |  |  |  |  |
| 1.   |                     | Article by P.J. Duffett-Smith, P. Hansen, Title: "Precise Time Transfer in a Mobile Radio Terminal", 6-pages |  |  |  |  |  |  |

| Examiner: | Date Considered: |  |
|-----------|------------------|--|
|           |                  |  |